



JOHN BURKE

Chartered Director ▪ Regulatory Specialist

30 YEARS' REGULATORY EXPERIENCE at the Johannesburg Stock Exchange (JSE) – providing leadership, with colleagues, that has resulted in the JSE being voted “Best Regulated Exchange” for 5 years running. Well known for finding middle ground, for winning support, and for bringing the benefits of a regulatory mindset to business.

CAREER HISTORY

Director: Issuer Regulation | Exco Member Executive Director | Alternative Director

▪ The Johannesburg Stock Exchange ▪ South Africa ▪ 1990 - 2020

AS DIRECTOR: ISSUER REGULATION was responsible for evolving, developing and enforcing policy and regulation of all companies listed on the JSE and the approval of listings according to the Listings Requirements across the areas of Equities, Debt, Alt X and Specialized securities including ETF's, Warrants etc.

■ **Additional Roles:** ▪ Executive Director of the JSE ▪ Deputised as CEO on numerous occasions ▪ Served on the JSE Exco (which runs the all facets of the enterprise) for 22 years ▪ Managed the HR and Business Development departments for some months ▪ Non-Executive Director of STRATE ▪ Chairman of Issuer Service Advisory Committee

■ **Summary of Key Duties:** ▪ Evolving listings requirements ▪ Structuring corporate transactions in terms of listings requirements ▪ Developing new products ▪ Overseeing and managing a team of up to 50 professionals ▪ Member of JSE mentoring programs ▪ Media presentations (including Radio, TV appearances)

■ **Special Contributions:**

Transforming the JSE: played a lead role, with the team, in transforming the JSE to “Best Regulated Exchange” in the world for 5 years running and the 1st exchange in the world to embed corporate governance requirements into listing requirements. On the people front, was honoured with a “Best Mentor” award.

New Initiatives & Developments: headed the development and conceptualizing of SENS, Listing Information Database and the WEBSTIR (Internal JSE systems); headed the development of the ETF and warrant market in South Africa; and led the development and successful launch and operation of the ALT X.

Productive Stakeholder Relations: maintained positive and productive relationships with key stakeholders such as the South African Reserve Bank and other regulatory bodies – balancing business interests with the need for robust regulation. Maintained a spirit of understanding and open communication with stakeholders.

Front-Line Regulatory Development: served at the front-line of regulatory and policy development, drafting and enforcing listing requirements for approval by the FSCA (Financial Service Conduct Authority), implementing penalties and actions.

International Exchange Community Engagement: represented the JSE in attending and in fulfilling speaking invitations within the international Exchange community – for example, in Singapore, Hong Kong, Australia, the USA and Europe.

King Committee Member, Chairman's Committee Member: intimately involved in the development of corporate governance via membership of the King Committee, serving on the Chairman's Committee in developing King IV.

IN THE MEDIA

- Financial Mail
- Mail & Guardian
- Business Insider
- Business Live
- CNBC Africa
- SAfm
- MoneyWeb

CORE EXPERTISE

☑ Regulatory Development

▪ Deep experience and knowledge of understanding, evolving and developing regulations and corporate governance and shaping them for the South African context.

☑ Regulatory Mindset

▪ In non-executive director roles, strengthening compliance, understanding of regulatory requirements, maintaining positive relationships with regulatory bodies.

VALUE ADDING SKILLS

☑ Finding 'Middle Ground'

▪ Bringing parties and disparate points of view together, winning support, negotiating agreements between stakeholders for the common good.

☑ Organisational Problem Solving

▪ Filled in several times as CEO of the JSE and was appointed by the CEO to intervene in various departments (eg IT, HR, Business Development) to solve complex organisational problems.

☑ Building and Retaining Teams

▪ Developed a reputation for building and retaining strong teams, resolving conflict and mentoring individuals.

REFEREES

Russell Loubser

- Former CEO and direct report for 15 years, JSE

Nicky Newton-King

- Former CEO and direct report for 8 years, JSE

Prof Mervyn King

- Chairman, King Committee

Prof Michael Katz

- Chairman, ENS Africa

Prof Ben Marx

- Prof of Audit, UJ

Linda De Beer

- Independent Non-Executive Director, Various JSE Listed Co's, former King Committee Member, and Former Chair of FRIP (Financial Reporting Investigation Panel), SAICA

[Confidential contact details available on request.]

CAREER HISTORY: INDUSTRY LEADERSHIP

Institute of Directors:

Member of the King Committee – with a tenure spanning the development of King II, III and IV. Notably served on the Chairman's Committee in the development of King IV. Remain an independent King Committee member.

Past IoDSA Board Member – 15-year tenure

Past Deputy Chairman, IoDSA

Past Chairman of the IoDSA Audit Committee

Past Member of the IoDSA Nominations and Investments committees.

Remain a member of the Nominations Committee.

Other Entities:

Past Member of the TRP (Takeover Regulation Panel)

Past Member of CRISA (Code for Responsible Investing in SA)

EDUCATION AND PROFESSIONAL DETAILS

Chartered Director (CD) South Africa (SA)

Institute of Directors

HDip Corporate Law

University of Johannesburg (then RAU)

BCom, BCom Hons – Investment Management

University of Johannesburg (then RAU)

